(19) World Intellectual Property Organization International Bureau



- I DENIK TRIBURUH PERMIK DENIK CINIK CINIK KIRI MENUNCHINIK BERKATURUH BERKATURUH BERKATURUH DENIK MENUNCHINI

(43) International Publication Date 25 September 2003 (25.09.2003)

PCT

(10) International Publication Number WO 03/078305 A1

(51) International Patent Classification⁷: H01L 29/00

B82B 1/00,

- (21) International Application Number: PCT/SE02/00853
- (22) International Filing Date: 2 May 2002 (02.05.2002)
- (25) Filing Language:

English

(26) Publication Language:

English '

(30) Priority Data: 0200868-8

20 March 2002 (20.03.2002) SE

(71) Applicant (for all designated States except US): CHALMERS TECHNOLOGY LICENSING AB [SE/SE]; Stena Center, S-412 92 Göteborg (SE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): VIEFERS, Susanne [DE/SE]; Motgangen 340-71, 412 80 Göteborg (SE). NORD, Tomas [SE/SE]; Dr Forselius Backe 52, 413 62 Göteborg (SE). KINARET, Jari [FI/SE]; Furugatan 13, 413 61 Mölndal (SE).

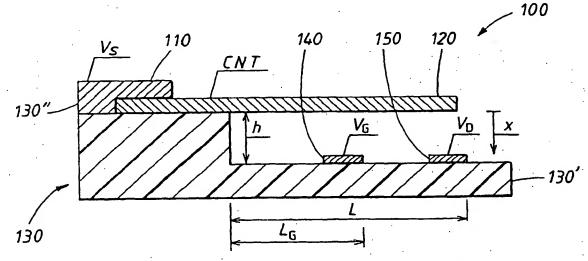
- (74) Agents: ALBIHNS GÖTEBORG AB et al.; P.O. Box 142, S-401 22 Göteborg (SE).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, Cl, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: NANOTUBE RELAY DEVICE



(57) Abstract: The present invention relates to a nanotube device (100,600), comprising a nanotube with a longitudinal and a lateral extension, a structure for supporting at least a first part of the nanotube, and first means for exerting a force upon the nanotube in a first direction defined by its lateral extension. At least a second part of the nanotube protrudes beyond the support of said structure, so that when said force exceeds a certain level, the second part of the nanotube will flex in the direction of its lateral extension, and thereby close a first electrical circuit. Suitably, the first means for exerting said force upon the nanotube is an electrical means, the force being created by applying a voltage to the means.

78305 A1 |||||||

Nanotube relay device

TECHNICAL BACKGROUND

Nanotechnology is a rapidly growing field of technology, including the development of so called nanotubes. Due to the inherently small size of the devices involved in this field of technology, nanotechnology would be ideal for applications within for example the field of electronics, for example within the semiconductor field. Memory devices are one example of an application which would benefit greatly from nanotechnology.

SUMMARY OF THE INVENTION

There is thus a need for a device in the nanoscale size which could serve as a multi-state logical switch or a memory element.

15

This need is met by the present invention in that it provides a nanotube device comprising a nanotube with a longitudinal and a lateral extension, a structure for supporting at least a first part of the nanotube, and first means for exerting a force upon the nanotube in a first direction defined by its lateral extension. At least a second part of the nanotube protrudes beyond the support of said structure, so that when said force exceeds a certain level, the second part of the nanotube will flex in the direction of its lateral extension, and thereby close a first electrical circuit.

Suitably, the first means for exerting said force upon the nanotube is an electrical means, the force being created by applying a voltage to the means.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be described in more detail below, with reference to the appended drawings, in which:

30

Fig 1 shows a schematic side view through a device according to the invention, and

Fig 2 shows a circuit equivalent to the device of fig 1, and

Fig 3 shows current as a function of voltages in the device of fig 1, and

Fig 4 shows an on-off transition for the current in the device in fig 1, and

Fig 5 shows a top view of an alternative embodiment of the invention.

EMBODIMENTS

Fig 1 shows a first embodiment of a device 100 according to the invention.

The device comprises a nanotube 120, preferably a conducting nanotube, suitably a carbon nanotube.

The device further includes a structure 130, made of a non-conducting material such as for example silicon, Si, which supports at least a first portion of the nanotube, with another second portion of the nanotube protruding beyond the supporting structure, and thus being unsupported. The first, supported, portion of the nanotube is connected to an electrode 110, referred to from now on as the source electrode.

The supporting structure 130 is suitably shaped as a terrace, and thus has a "step-like" structure, with an upper level 130", and a lower level 130', where the two levels are interconnected by a wall-like shape of the structure 110. The difference in height between the two levels 130', 130" of the structure as defined by the height of the wall is referred to by the letter h. It should be noted that the use of the word "level" throughout this description refers to a difference in dimensions which gives the structure a preferably step-like form either in the horizontal or in the vertical orientation of the device.

On the lower level 130' of the structure, there are arranged two additional electrodes, one being referred to as the gate electrode 140, and the other as the drain electrode, 150. The gate electrode is located at a distance L_G to

10

the nearest point of the wall, and the corresponding distance for the drain electrode is denoted as L_D , where L_G suitably is smaller than L_D .

The total extension of the protruding part of the nanotube is preferably within the interval of 50 to 150 nm, suitably of the order of approximately 100 nm, with the height *h* being approximately in the order of size of 3 nm.

When a voltage is applied to the gate electrode 140, a resulting capacitive force will act on the nanotube 120, in the direction towards the gate electrode, which is thus a direction defined by the lateral extension of the nanotube, in the picture perceived as a "downwards" direction. The force, denoted as Fc, may be described by the mathematical formula seen below:

$$Fc = -(Q_G^2/2) \frac{d}{dx} (1/C_G(x)) - (Q_D^2/2) \frac{d}{dx} (1/C_D(x))$$

In this formula, $Q_G + Q_D$ is the excess charge on the nanotube, C_G and C_D are capacitances which will be explained in more detail below with reference to fig 2, and x is the shortest distance between the nanotube 120 and the lower level 130' of the structure.

Fig 2 is an equivalent circuit 200 of the device in fig 1: The source voltage V_S is connected, via an impedance Z, to the gate voltage V_G through the capacitance C_G, and to the drain voltage V_D through the capacitance C_D and a resistance R_T, which is connected in parallel to the drain capacitance C_D. Due to the mechanical movement caused by the force F_C, the capacitances C_G and C_D and the resistance R_T will vary in time.

The resistance R_T can be expressed by the formula seen below:

$$R_T = R_0 e^{((h-x)/\lambda)}$$

WO 03/078305 PCT/SE02/00853

 R_0 is estimated from experimental results, and can be said to be of the order of tens to hundreds of kiloohms, and the tunneling length, λ , is typically in the order of 0.5 Å. The distance x can, as will be realized, be varied by varying the voltage V_G applied to the gate.

5

10

15

20

25

30

Fig 3 shows the current-voltage function for a typical set of parameters. On one of the horizontal axes, the gate voltage, $V_{\rm G}$ is shown, and on the other horizontal axis the drain voltage, $V_{\rm D}$, can be seen, with the vertical axis depicting the current which passes through the source electrode to the drain electrode. As can be seen from this figure, there is a sharp transition from a non-conducting (off) state for the device to a conducting (on) state when the gate voltage is varied, with the source voltage fixed.

Fig 4 shows the current-voltage characteristics of the device with the source voltage at a fixed value. The shift in gate voltage required to make a transition from the "off" to the "on" state is approximately 1.5 mV.

The time required to make a transition from the "on" -state to the "off"-state for the device in fig 1 is considerably much shorter than the time to make the opposite transition, i.e. from the "off" -state to the "on"-state. Naturally, the switching dynamics of the device according to the invention can be affected by altering the geometry of the device, e.g. the wall height h, the positioning L_G , L_D of the electrodes on the lower level 130° of the terrace, and the length of the protruding part L of the nanotube. Thus, by suitable design, the device according to the present invention can be applied to meet the demands of different applications.

Fig 5 shows a top view of another embodiment 500 of the invention. This embodiment 500 comprises a nanotube device similar to that shown in fig 1 and described above, but with the supporting terraced structure 530 additionally comprising a structure 530" on a third level, said third level 530"

WO 03/078305 PCT/SE02/00853

5

10

15

20

25

being located essentially in parallel with the second level 530', but on an opposite side of the protruding part of the nanotube 520.

The embodiment 500 comprises essentially all of the features of the device in fig 1, and additionally comprises second means 540' for exerting a force upon the nanotube 520 in a second direction defined by its lateral extension, so that when said force exceeds a certain level, the second part of the nanotube will flex in the second direction of its lateral extension, and thereby close a second electrical circuit. Said second direction is, as will be realized from fig 5, the direction which is towards the means 540'. When force is exerted upon the nanotube 520 via the means 540', which is preferably a second gate electrode, the second, protruding, part of the nanotube 520 will flex in the second direction of its lateral extension, and thereby close a second electrical circuit. This second electrical circuit is suitably defined by the source electrode 510 described in connection with fig 1, and a second drain electrode 550' located on the third level 530" of the supporting structure 530.

The second gate and drain electrodes are located at distances L_{G2} and L_{D2} respectively from the wall of the terraced structure.

Although the invention has been described with reference to examples of certain embodiments, the invention may be varied within the scope of the appended claims.

25

30

CLAIMS

- 1. A nanotube device (100,600), comprising a nanotube with a longitudinal and a lateral extension, a structure for supporting at least a first part of the nanotube, and first means for exerting a force upon the nanotube in a first direction defined by its lateral extension, characterized in that at least a second part of the nanotube protrudes beyond the support of said structure, so that when said force exceeds a certain level, the second part of the nanotube will flex in the direction of its lateral extension, and thereby close a first electrical circuit.
- 2. A nanotube device according to claim 1, characterized in that the first means for exerting said force upon the nanotube is an electrical means, the force being created by applying a voltage to the means.
- 3. A nanotube device according to claims 1 or 2, in which said supporting structure comprises a terraced structure with structures on a first and a second level, with the supported first part of the nanotube being supported by the first level of the structure, and said means for exerting force being located on said second level.
 - 4. A nanotube device according to any of claims 1-3, in which the first means for applying force comprises a first gate electrode, and the first circuit which is closed by the flexing of the nanotube comprises a first gate electrode being located on said second level of the structure and a first source electrode being located on said first level of the structure.
 - 5. A nanotube device according to any of the previous claims, in which the supporting terraced structure additionally comprises a structure on a third level, said third level being located essentially in parallel with said second level but on an opposite side of the protruding part of the nanotube, which nanotube device comprises second means for exerting a force upon the

nanotube in a second direction defined by its lateral extension, so that when said force exceeds a certain level, the second part of the nanotube will flex in the second direction of its lateral extension, and thereby close a second electrical circuit.

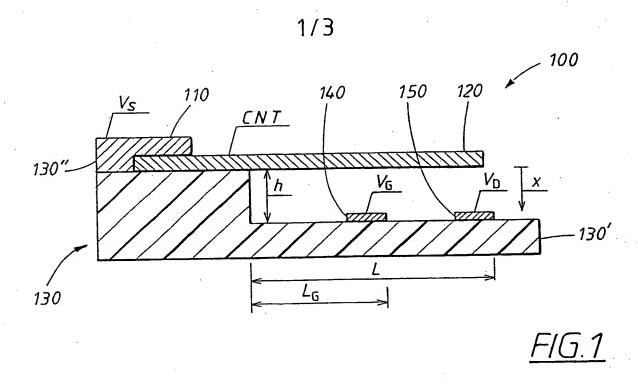
5

- 6. A nanotube device according to claim 5, characterized in that the second means for exerting said force upon the nanotube is an electrical means, the force being created by applying a voltage to the means.
- 7. A nanotube device according to claims 5 or 6, in which said additional supporting structure comprises a terraced structure with structures on a first and a second level, with the supported first part of the nanotube being supported by the first level of the structure, and said means for exerting force being located on said second level.

15

8. A nanotube device according to any of claims 5-7, in which the second means for applying force comprises a second gate electrode, and the second circuit which is closed by the flexing of the nanotube comprises a second drain electrode being located on said third level of the structure.

20



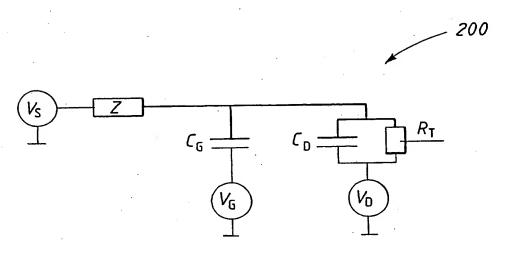


FIG.2



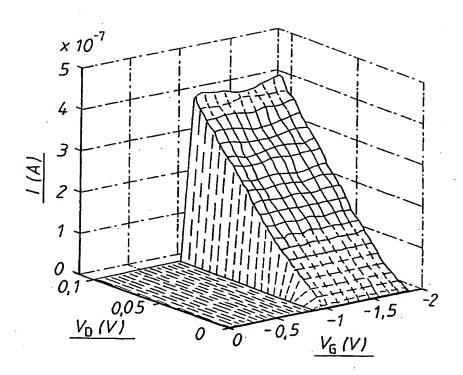
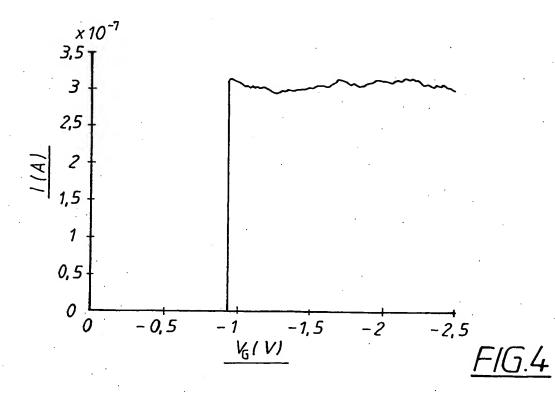


FIG. 3



SUBSTITUTE SHEET (RULE 26)

3/3

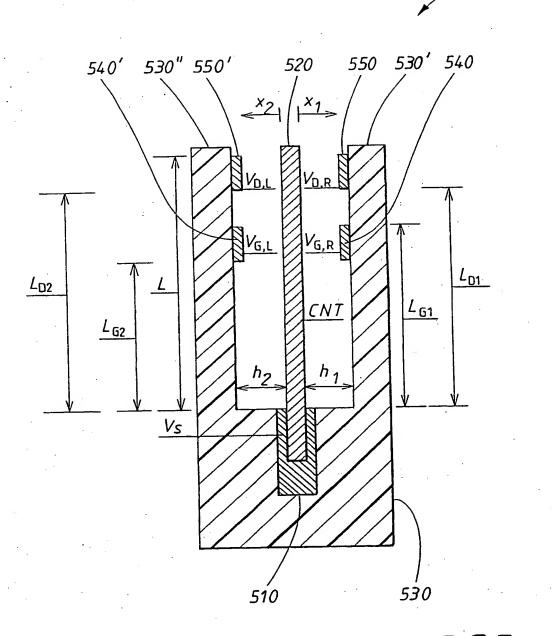


FIG.5

INTERNATIONAL SEARCH REPORT

International application No. PCT/SE 02/00853

A. CLASSIFICATION OF SUBJECT MATTER									
IPC7: B82B 1/00, H01L 29/00 according to International Patent Classification (IPC) or to both national classification and IPC									
According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED									
Minimum documentation searched (classification system followed by classification symbols)									
·									
IPC7: B82B									
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched									
SE,DK,FI,NO classes as above									
Electronic data base consulted during the international search (name	e of data base and, where practicable, search	ı terms used) · ·							
EPO-INTERNAL, WPI DATA, PAJ									
C. DOCUMENTS CONSIDERED TO BE RELEVANT									
X DE 10034315 A1 (INFINEON TECHNO	LOGIES AG),	1-2							
31 January 2002 (31.01.02),	figure 1B, claims 1-3,								
abstract									
A WO 0161753 A1 (INFINEON TECHNOL	WO 0161753 A1 (INFINEON TECHNOLOGIES AG),								
23 August 2001 (23.08.01),	23 August 2001 (23.08.01), abstract								
·									
		·							
	•								
	·								
	•								
Further documents are listed in the continuation of Ro	x C. X See patent family anne	· · · · · · · · · · · · · · · · · · ·							
 Special categories of cited documents "A" document defining the general state of the art which is not considered 	ment defining the general state of the art which is not considered date and not in conflict with the application but cited to understand								
to be of particular relevance "E" earlier application or patent but published on or after the internations	the principle or theory underlying the								
filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other	considered novel or cannot be considered novel or cannot be considered when the document is taken along	ered to involve an inventive e							
special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other	fied) Y document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is								
means "P" document published prior to the international filing date but later than	being obvious to a person skilled in the art								
the priority date claimed	rity date claimed @ document member of the same patent family								
Date of the actual completion of the international search	Date of mailing of the international	searco report .							
17 December 2002	1.9 -12- 2002	•							
Name and mailing address of the ISA/	Authorized officer								
Swedish Patent Office	Fuel Mede and a ANI	·							
Box 5055, S-102 42 STOCKHOLM Facsimile No. + 46 8 666 02 86	Erik Miliander/MN Telephone No. +46 8 782 25 00	•							

INTERNATIONAL SEARCH REPORT

International application No.

Information on patent family members				01,	/12/02	PCT/SE	02/00853	
		Publication date				Publication date		
DE	10034315	A1	31/01/02	NONE				
WO 0161753 A1 23/08/01		23/08/01	DE 10006964			A,C 13/09/01		
				÷			-	
			•	,				
			•					
							•	

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:					
☐ BLACK BORDERS					
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES					
☐ FADED TEXT OR DRAWING					
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING					
☐ SKEWED/SLANTED IMAGES					
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS					
☐ GRAY SCALE DOCUMENTS					
LINES OR MARKS ON ORIGINAL DOCUMENT					
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY					
Потирр.					

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.